



We are very pleased to announce that Eric Bernum has been named as an additional Portfolio Manager on the ALPS I Smith Total Return Fund (SMTHX) and the ALPS I Smith Short Duration Fund (SMDSX).

Eric joined Smith Capital Investors in 2018. He is an excellent partner and has been instrumental in the set up and ongoing growth of the firm. His strong fundamental skill set, experience, market knowledge, and collaborative approach to investing have been an important component of our recent results. His commitment to the culture at Smith Capital Investors as well as his unwavering dedication to our clients and investors exemplify the character we seek on our team.

Please join me in celebrating this well-deserved addition to your funds.



Eric Bernum, CFA

Eric Bernum is an investor at Smith Capital Investors, a position he has held since May 2018. Prior to joining the team, he served as Executive Director, Senior Portfolio Manager at J.P. Morgan Asset Management. In that position, Mr. Bernum was a part of the Portfolio Management team, managing assets across various fixed income institutional portfolios and mutual funds.

Before his time at J.P. Morgan Asset Management, Eric was at Janus Capital Management LLC in a multitude of roles across the trading, fundamental analysis, and portfolio management functions—culminating in Eric's role as the Co-Head of Fixed Income Trading and Assistant Portfolio Manager. In these roles, Eric managed a global team of 7 traders and contributed to portfolio management decisions across all major fixed income strategies ranging from Intermediate/Core, High Yield, Credit only, Money Markets, Limited Duration, and Liability Driven/Long Duration strategies. Eric was also active in asset raising, client servicing, and compliance and systems build out and function across the fixed income platform.

Eric earned his Bachelor of Science degree in finance from Colorado State University, earning the Distinguished Scholar Award and attended the University of South Australia. He earned the Chartered Financial Analyst (CFA) designation and is a member of the CFA Society of Colorado. He has 15 years of financial industry experience.

Eric earned the designation of Eagle Scout with Boy Scouts of America. With his family, Eric is involved in several charities in the Denver metro area. He enjoys skiing and traveling the world with his family and has a special place in his heart for Central Ohio sports teams and their supporters.

Important Considerations:

An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus, which contains this and other information, call 1.866.759.5679 or visit www.alpsfunds.com. Please read the prospectus carefully before investing.

An investment in the Funds involves risk, including loss of principle.

ALPS Advisors, Inc. is the investment advisor to the Fund and Smith Capital Investors is the investment sub-adviser. ALPS Portfolio Solutions Distributor, Inc. is not affiliated with Smith Capital Investors.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc. are affiliated.